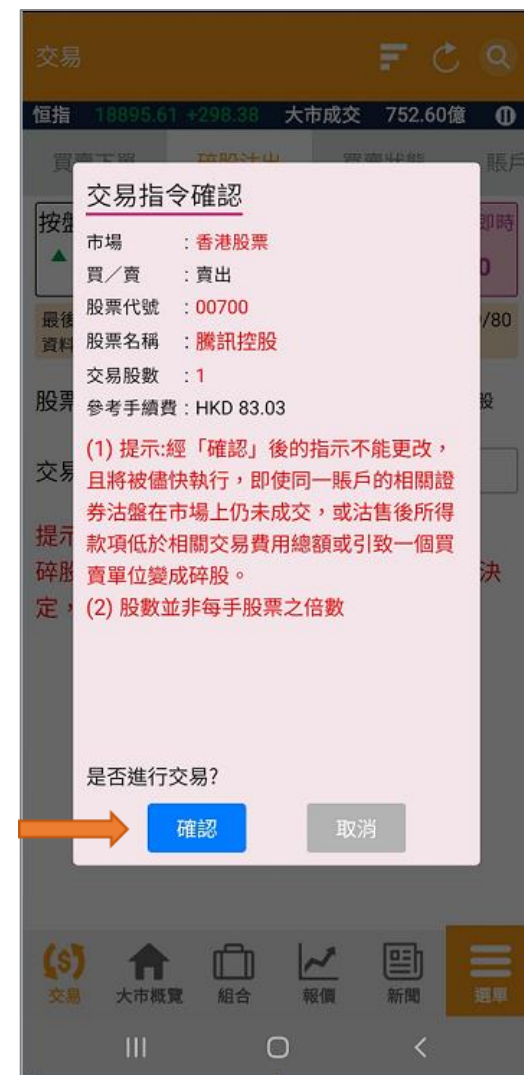
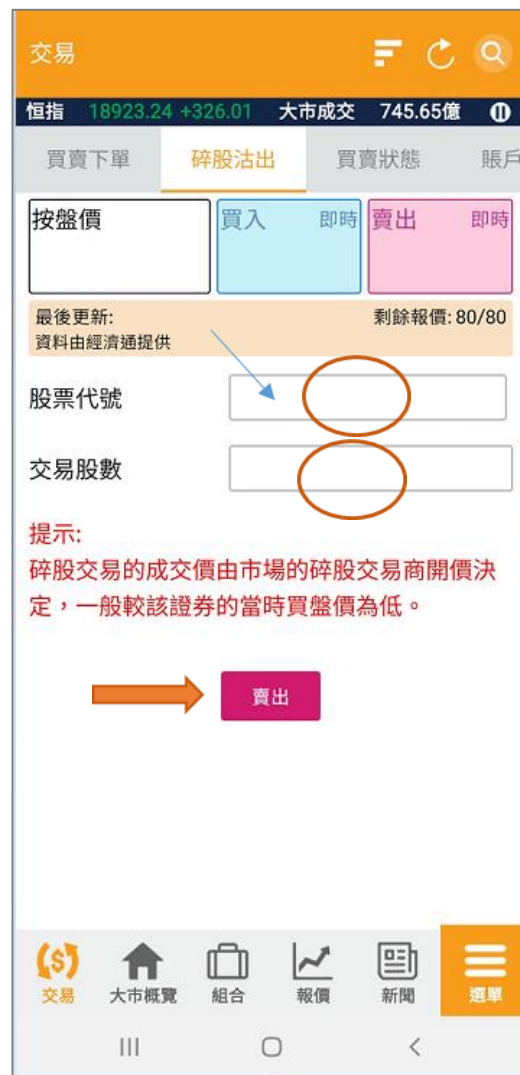
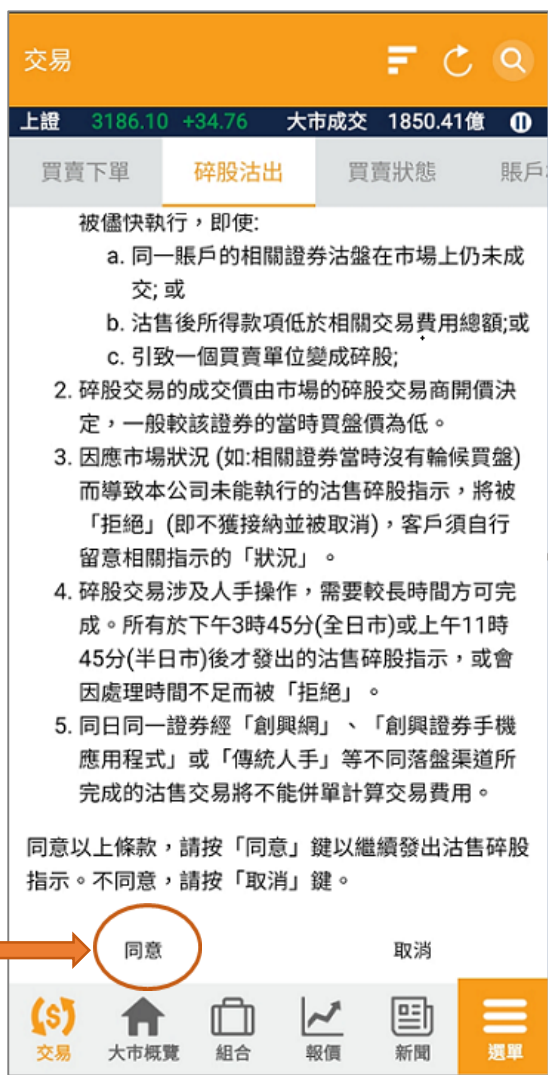
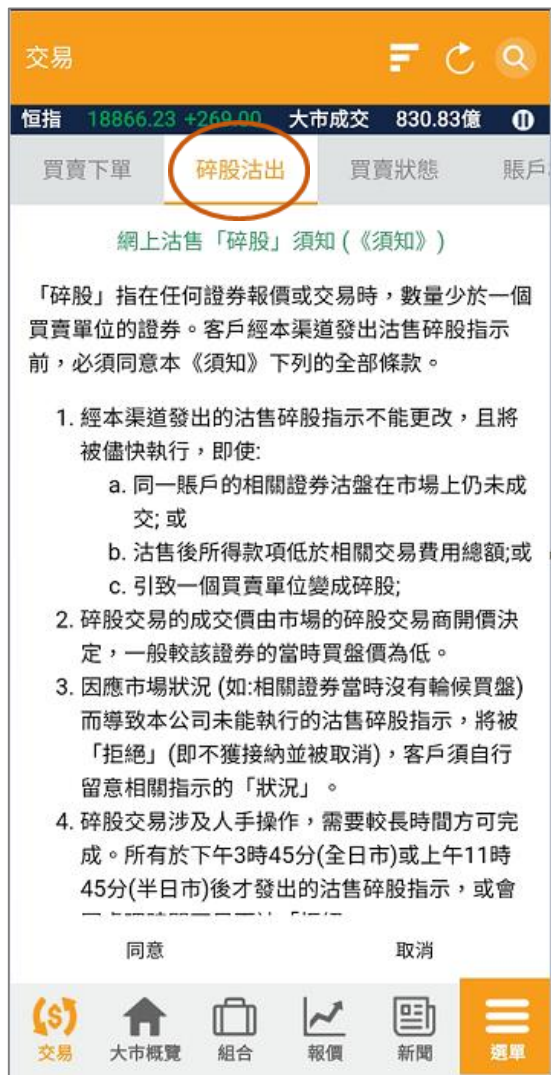


# 網上沽售碎股交易指引 Online Odd lot Share Selling Guide

**2022/12**



1. 登入交易平台，選擇「碎股沽出」，細閱網上沽售碎股須知並按「同意」鍵

2. 輸入「股票代號」、「交易股數」，並按「賣出」鍵

3. 覆核輸入指示，並按「確認」鍵，完成操作。

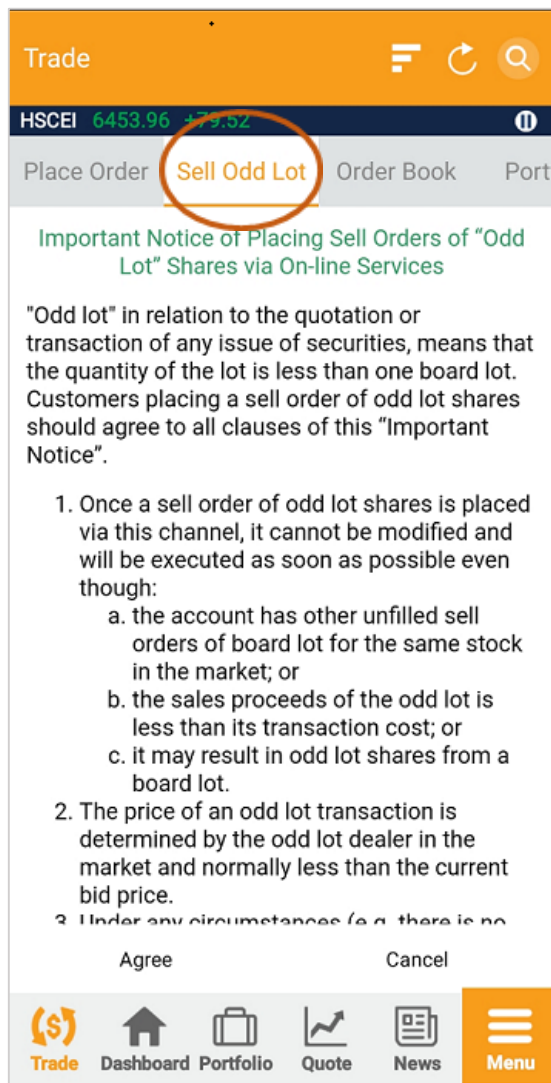


4. 交易指令已送出，**參考編號**將會顯示於頁面

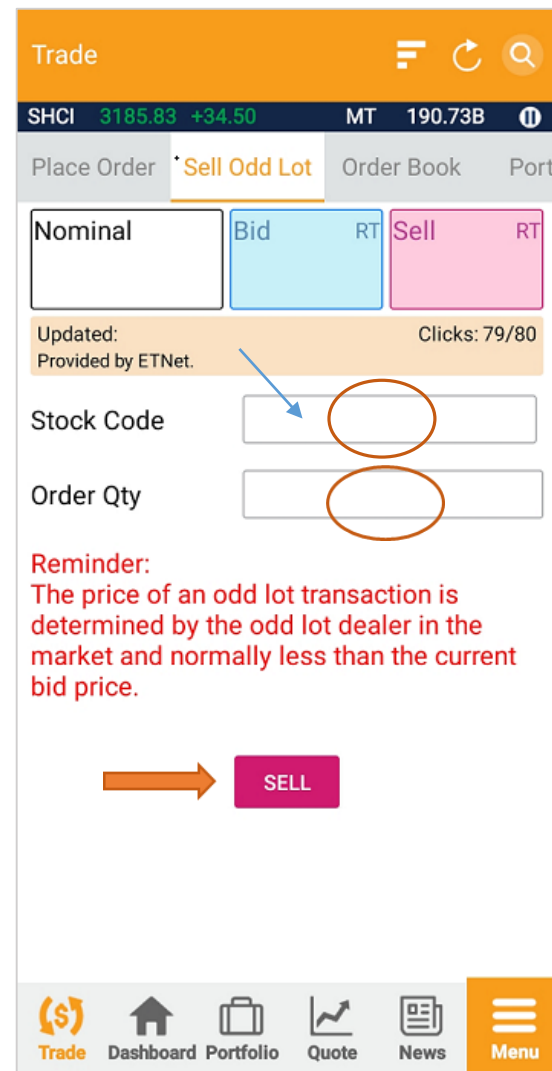
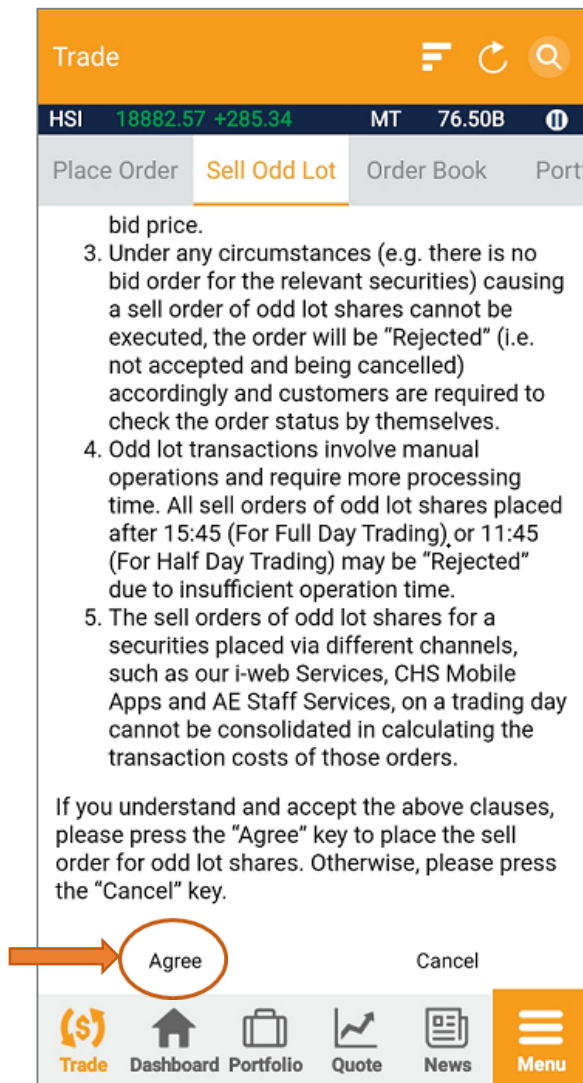


5. 如欲查詢碎股交易情況，可選擇「**買賣狀態**」，「**未成交**」或「**全數成交**」訊息將會顯示於頁面。

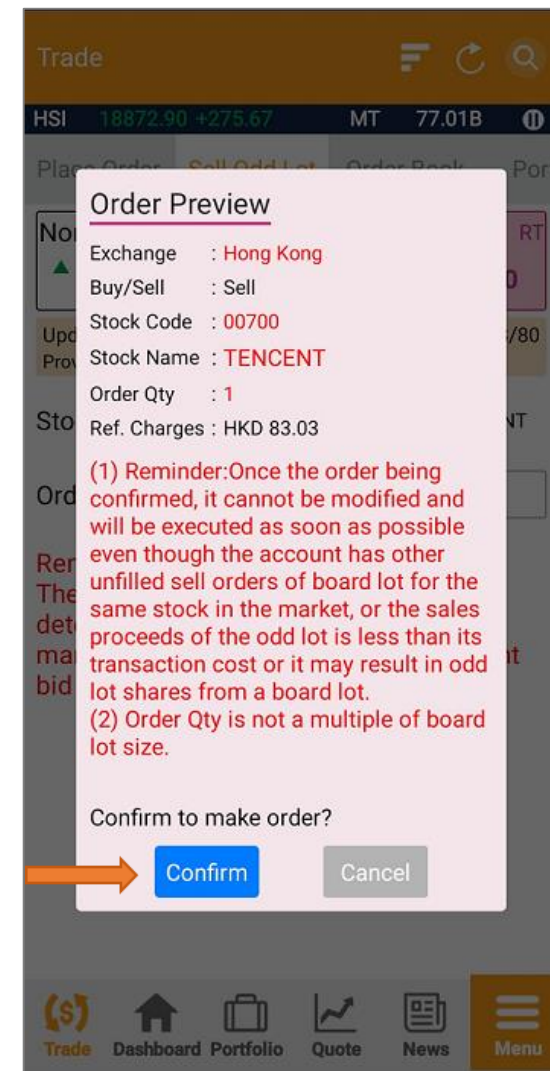




1. Log in trading platform and choose “**Sell Odd Lot**”, read Important Notice of Placing Sell Orders of “Odd Lot” Shares via On-line Services and press “**Agree**” button

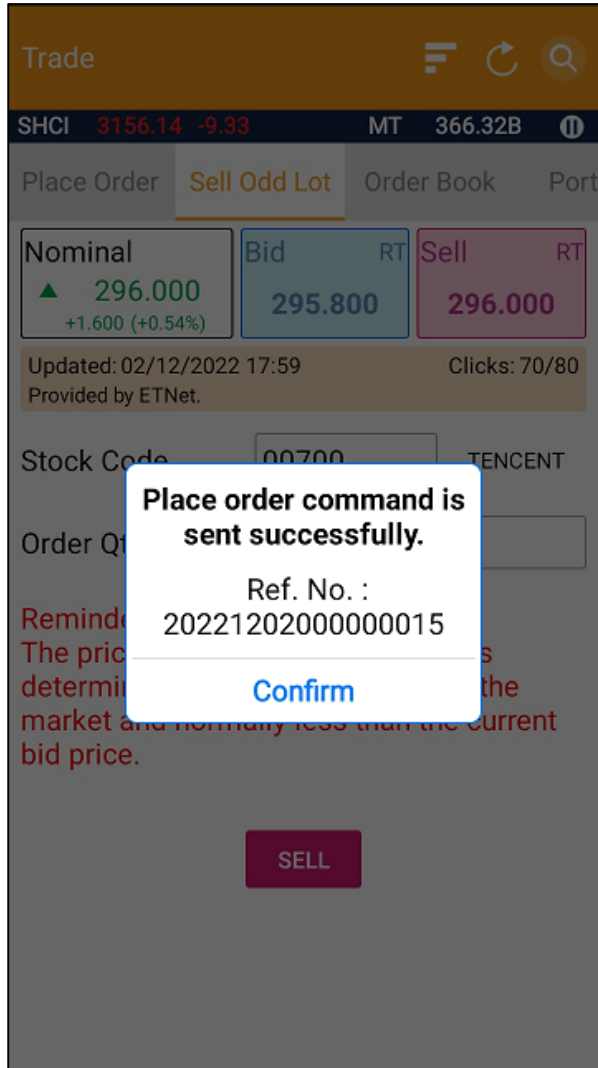


2. Input “**Stock Code**” and “**Order Qty**” and press “**Sell**” button



3. Review input details and press “**Confirm**” button and complete operation.

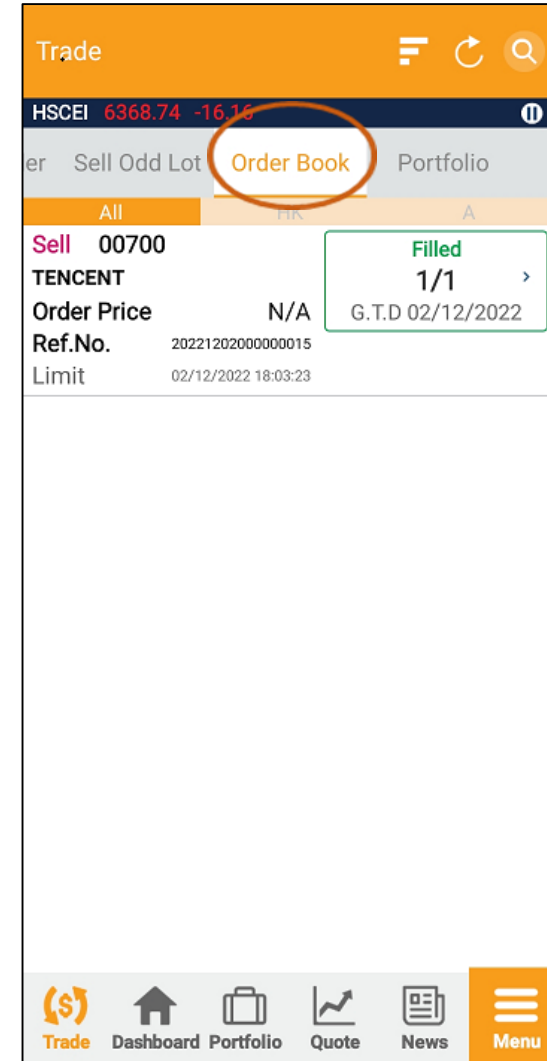




4. Place order command is sent out successfully and the **Ref. No.** will be displayed on the screen



5. If odd lot shares trading enquiry is needed, choose **"Order Book"** and **"Unfilled"** or **"Filled"** messages will be displayed on the screen.



**創興網**

網上沽售「碎股」須知 (《須知》)

「碎股」指在任何證券報價或交易時，數量少於一個買賣單位的證券。客戶經本渠道發出沽售碎股指示前，必須同意本《須知》下列的全部條款。

- 經本渠道發出的沽售碎股指示不能更改，且將被儘快執行，即使：
  - 同一賬戶的相關證券沽盤在市場上仍未成交；或
  - 沽售後所得款項低於相關交易費用總額；或
  - 引致一個買賣單位變成碎股；
- 碎股交易的成交價由市場的碎股交易商開價決定，一般較該證券的當時買盤價為低。
- 因應市場狀況 (如相關證券當時沒有輪候買盤) 而導致本公司未能執行的沽售碎股指示，將被「拒絕」(即不獲接納並被取消)，客戶須自行留意相關指示的「狀況」。
- 碎股交易涉及人手操作，需要較長時間方可完成。所有於下午3時45分(全日市)或上午11時45分(半日市)後才發出的沽售碎股指示，或會因處理時間不足而被「拒絕」。
- 同日同一證券經「創興網」、「創興證券手機應用程式」或「傳統人手」等不同落盤渠道所完成的沽售交易將不能併單計算交易費用。

同意以上條款，請按「同意」鍵以繼續發出沽售碎股指示。不同意，請按「取消」鍵。



- 登入交易平台，選擇股票買賣之「碎股」選項，細閱網上沽售碎股須知並按「同意」鍵

**股票買賣**

市場: 香港股票 沽出

股票代號: 00005 匯豐控股

股數: 10

結算貨幣: HKD

提示: 碎股交易的成交價由市場的碎股交易商開價決定，一般較該證券的當時買盤價為低。



- 輸入「股票代號」、「股數」，並按「下單」鍵

**股票買賣**

確定信息

市場: 香港股票 沽出

股票代號: 00005 匯豐控股

股數: 10

結算貨幣: 港元

提示: 經「確認」後的指示不能更改，且將被儘快執行，即使同一賬戶的相關證券沽盤在市場上仍未成交，或沽售後所得款項低於相關交易費用總額或引致一個買賣單位變成碎股。

- 覆核輸入指示，並按「確認」鍵，完成操作。

股票買賣

整手股 碎股

確定信息

有關此指令執行【狀況】請參閱【買賣盤狀況】頁!

參考編號: R-00001

到 下單畫面 或  
買賣盤狀況畫面 或  
碎股下單畫面

請注意, 此畫面10秒後自動返回下單畫面。

4. 交易指令已送出, 參考編號將會顯示於頁面

結餘 持倉狀況 買賣盤

類別	代號	市場	狀況	成交股數
沽出	00005	港股	全數成交	10
沽出	00005	港股	未成交	0
沽出	00005	港股	全數成交	10

以上只簡單顯示最新五宗買賣盤,請按"更多詳情"以顯示全部買賣盤。

更新時間: 香港時間 05/12/2022 11:15

更新 更多詳情

結餘 持倉狀況 買賣盤

類別	代號	市場	狀況	成交股數
沽出	00005	港股	全數成交	10
沽出	00005	港股	全數成交	10
沽出	00005	港股	全數成交	10

以上只簡單顯示最新五宗買賣盤,請按"更多詳情"以顯示全部買賣盤。

更新時間: 香港時間 05/12/2022 11:21

更新 更多詳情

5. 如欲查詢碎股交易情況, 可選擇「買賣盤」, 「未成交」或「全數成交」訊息將會顯示於頁面。


**Terms and Condition**

**Important Notice of Placing Sell Orders of "Odd Lot" Shares via On-line Services**

"Odd lot" in relation to the quotation or transaction of any issue of securities, means that the quantity of the lot is less than one board lot. Customers placing a sell order of odd lot shares should agree to all clauses of this "Important Notice".

- Once a sell order of odd lot shares is placed via this channel, it cannot be modified and will be executed as soon as possible even though:
  - the account has other unfilled sell orders of board lot for the same stock in the market; or
  - the sales proceeds of the odd lot is less than its transaction cost; or
  - it may result in odd lot shares from a board lot.
- The price of an odd lot transaction is determined by the odd lot dealer in the market and normally less than the current bid price.
- Under any circumstances (e.g. there is no bid order for the relevant securities) causing a sell order of odd lot shares cannot be executed, the order will be "Rejected" (i.e. not accepted and being cancelled) accordingly and customers are required to check the order status by themselves.
- Odd lot transactions involve manual operations and require more processing time. All sell orders of odd lot shares placed after 15:45 (For Full Day Trading) or 11:45 (For Half Day Trading) may be "Rejected" due to insufficient operation time.
- The sell orders of odd lot shares for a securities placed via different channels, such as our i-web Services, CHS Mobile Apps and AE Staff Services, on a trading day cannot be consolidated in calculating the transaction costs of those orders.

If you understand and accept the above clauses, please press the "Agree" key to place the sell order for odd lot shares. Otherwise, please press the "Cancel" key.



1. Log in trading platform and choose Stock trading and choose option of "Odd Lot", read Important Notice of Placing Sell Orders of "Odd Lot" Shares via On-line Services and press "Agree" button

**Stock Trading**

**Board Lot** **Odd Lot**

Market: Hong Kong **Sell**

Stock Code: 00005  
HSBC HOLDINGS

Order Qty: 10

Sett. Cur: HKD

Reminder: The price of an odd lot transaction is determined by the odd lot dealer in the market and normally less than the current bid price.



2. Input "Stock Code" and "Order Qty" and press "Trade" button

**Stock Trading**

**Board Lot** **Odd Lot**

**Order Confirmation**

Market: Hong Kong Stock Sell

Stock Code: 00005 HSBC HOLDINGS

Order Qty: 10

Sett. Cur.: HKD

Reminder: Once the order being confirmed, it cannot be modified and will be executed as soon as possible even though the account has other unfilled sell orders of board lot for the same stock in the market, or the sales proceeds of the odd lot is less than its transaction cost or it may result in odd lot shares from a board lot.

3. Review input details and press "Confirm" button and complete operation



Stock Trading

Board Lot

Odd Lot

Order Confirmation

Please check status details of the order on the "Order Status Page"!

Reference No.: R-00003

Go to Normal Trading page Or

Order Status Page Or

Odd Lot Trading Page

Please be reminded that this screen will

automatically return to Trading Page

after 10 seconds.

4. Place order command is sent out successfully and the Reference No. will be displayed on the screen

Balance

Holdings

Orders

Type	Stock	Mkt	Status	FilledQty
Sell	00005	HK	Fully Filled	10
Sell	00005	HK	Unfilled	0
Sell	00005	HK	Fully Filled	10

Above simply display the latest 5 placed orders.

Click "More Details" for all.

Last Updated: HKT 05/12/2022 11:19

Refresh

More Details

Balance

Holdings

Orders

Type	Stock	Mkt	Status	FilledQty
Sell	00005	HK	Fully Filled	10
Sell	00005	HK	Fully Filled	10
Sell	00005	HK	Fully Filled	10

Above simply display the latest 5 placed orders.

Click "More Details" for all.

Last Updated: HKT 05/12/2022 11:21

Refresh

More Details

5. If odd lot shares trading enquiry is needed, choose "Orders" and "Unfilled" or "Fully Filled" messages will be displayed on the screen.

如對有關操作有任何疑問，  
請致電客戶服務熱線3768 9818查詢。

If further enquiry is needed regarding operations,  
please contact our customer service hotline 3768 9818.